UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

7590 10/15/2010

EXAMINER

HEINRICH, SAMUEL M

ART UNIT

PAPER NUMBER

3742

DATE MAILED: 10/15/2010

J. C. Patents Suite 250 4 Venture Irvine, CA 92618

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/529.376	09/20/2005	Hiroshi Sawada	JCLA16514	4576

TITLE OF INVENTION: CYCLIC STRUCTURE FORMATION METHOD AND SURFACE TREATMENT METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/18/2011

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where n

maintenance fee notifications. CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)				correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must			
J. C. Patents Suite 250 4 Venture		5/2010	ha I b Sta ad	ve its own certificate Cert ereby certify that thi attes Postal Service w dressed to the Mail	of mailing or transmission. ificate of Mailing or Trans s Fee(s) Transmittal is bein	smission g deposited with the United st class mail in an envelope above, or being facsimile	
Irvine, CA 9261	8					(Depositor's name)	
						(Signature)	
						(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/529,376 TITLE OF INVENTION	09/20/2005 I: CYCLIC STRUCTUR	E FORMATION METHO	Hiroshi Sawada DD AND SURFACE TRI	EATMENT METHO	JCLA16514 D	4576	
APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1510	\$300	\$0	\$1810	01/18/2011	
EXAM	IINER	ART UNIT	CLASS-SUBCLASS	7	•		
HEINRICH,	SAMUEL M	3742	219-121690	_			
Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON			or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
recordation as set fort (A) NAME OF ASSI	th in 37 CFR 3.11. Comj GNEE	ified below, no assignee pletion of this form is NO	T a substitute for filing a (B) RESIDENCE: (CIT	n assignment. Y and STATE OR Co	e is identified below, the d OUNTRY) rporation or other private gr		
4a. The following fee(s) are submitted: Issue Fee Publication Fee (No small entity discount permitted) Advance Order - # of Copies			A check is enclosed. Payment by credit control The Director is herel	ard. Form PTO-2038 by authorized to charg	y previously paid issue fee is attached. ge the required fee(s), any de	eficiency, or credit any	
• •	ns SMALL ENTITY state	ıs. See 37 CFR 1.27.			L ENTITY status. See 37 C		
NOTE: The Issue Fee an interest as shown by the	d Publication Fee (if req records of the United Sta	uired) will not be accepte tes Patent and Trademark	d from anyone other than Office.	the applicant; a regis	tered attorney or agent; or the	he assignee or other party in	
Authorized Signature				Date			
Typed or printed name							
an application. Confiden submitting the complete this form and/or suggest Box 1450, Alexandria, V Alexandria, Virginia 223	tiality is governed by 35 d application form to the ions for reducing this bu /irginia 22313-1450. DC 113-1450.	U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the NOT SEND FEES OR	1.14. This collection is evaluation depending upon the induction of the Complete of the Comple	stimated to take 12 nividual case. Any concer, U.S. Patent and TO THIS ADDRESS.	ne public which is to file (an inutes to complete, including mments on the amount of tigrademark Office, U.S. Dep. SEND TO: Commissioner	ng gathering, preparing, and me you require to complete partment of Commerce, P.O. for Patents, P.O. Box 1450,	

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

OMB 0651-0033

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/529,376	09/20/2005	Hiroshi Sawada	JCLA16514	4576	
75	590 10/15/2010		EXAM	INER	
J. C. Patents			HEINRICH, SAMUEL M		
Suite 250			ART UNIT	PAPER NUMBER	
4 Venture Irvine, CA 92618			3742 DATE MAILED: 10/15/201	0	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 500 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 500 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

	Application No.	Applicant(s)	
Notice of Allowability	10/529,376 Examiner	SAWADA ET AL. Art Unit	
,			
	Samuel M. Heinrich	3742	
The MAILING DATE of this communication app. All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85; NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in or other appropriate commu IGHTS. This application is so	this application. If not included nication will be mailed in due course. TI	
1. \boxtimes This communication is responsive to <u>the Amendment rece</u>	eived 09/27/2010.		
2. ☑ The allowed claim(s) is/are <u>1,3-6 and 9-13</u> .			
3. ☑ Acknowledgment is made of a claim for foreign priority u a) ☑ All b) ☐ Some* c) ☐ None of the: 1. ☑ Certified copies of the priority documents have	e been received.		
2. Certified copies of the priority documents have	• •		
 Copies of the certified copies of the priority do International Bureau (PCT Rule 17.2(a)). 	ocuments have been received	in this national stage application from t	ne
* Certified copies not received:			
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 4. A SUBSTITUTE OATH OR DECLARATION must be subm	MENT of this application.		
INFORMAL PATENT APPLICATION (PTO-152) which giv 5. CORRECTED DRAWINGS (as "replacement sheets") mu	, , ,	declaration is deficient.	
(a) ☐ including changes required by the Notice of Draftspers		(PTO-948) attached	
1) ☐ hereto or 2) ☐ to Paper No./Mail Date		(
(b) ☐ including changes required by the attached Examiner Paper No./Mail Date	=	in the Office action of	
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in			
 DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT 			
Attachment(s)			
1. Notice of References Cited (PTO-892)		ormal Patent Application	
2. Notice of Draftperson's Patent Drawing Review (PTO-948)	Paper No./ľ	mmary (PTO-413), Mail Date	
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 	/. ∐ Examiner's /	Amendment/Comment	
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material	8. 🛛 Examiner's S	Statement of Reasons for Allowance	
	9. 🔲 Other		
/Samuel M Heinrich/			
Primary Examiner, Art Unit 3742			

REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: the method for forming a periodic structure comprising irradiating a surface with a linearly polarized single laser beam of a femtosecond laser of which a fluence is above but nearly as low as ablation threshold comprising the particularly claimed features of the executing an overlapped scanning is not described in the prior art.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Samuel M. Heinrich whose telephone number is 571-272-1175. The examiner can normally be reached on M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tu B. Hoang can be reached on 571-272-4780. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/529,376 Page 3

Art Unit: 3742

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Samuel M Heinrich/ Primary Examiner, Art Unit 3742